

10-1-001

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/798,659
	Filing Date	March 11, 2004
	First Named Inventor	Randall J. Ploeger
	Art Unit	3683
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	10628.00096

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form PTO SB/08a and 08b; Copies of cited references; Return Receipt Postcard; Certificate of Mailing by Express Mail				
<table border="1"><tr><td>Remarks</td><td>The Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 19-0733. A duplicate copy of this sheet is enclosed.</td></tr><tr><td colspan="2">Express Mail No. EV 378039492 US</td></tr></table>			Remarks	The Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 19-0733. A duplicate copy of this sheet is enclosed.	Express Mail No. EV 378039492 US	
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Jon O. Nelson Banner & Witcoff, Ltd.
Signature	
Date	September 30, 2004

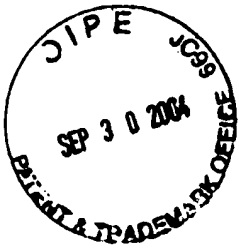
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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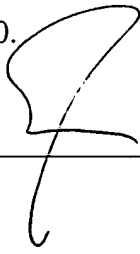


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By:  _____

Patent Application of:	Randall J. Ploeger, et al.
Serial No.	10/798,659
Title:	Dual Piston Disc Brake Caliper Compressor
Attorney Docket No.	10628.00096

- ☒ Transmittal Form, in duplicate
- ☒ Supplemental Information Disclosure Statement
- ☒ Form PTO/SB/08a and 08b
- ☒ Copies of Cited References
- ☒ Return Receipt Postcard



EX-378 8039492 US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 10628.00096

In the Application of:

Randall J. Ploeger et al.

Serial No. 10/798,659

Filed: March 11, 2004

For: Dual Piston Disc Brake Caliper
Compressor

Group Art Unit: 3683

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA, 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. § 1.97 and 1.98, Applicants wish to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. § 1.56. Copies of the references cited below are enclosed. The references are also listed on the enclosed and completed PTO Form SB/08A.

This Information Disclosure Statement is filed under 37 C.F.R. § 1.97(b) within three months of this application's filing date or before the mailing date of a first Office Action on the merits. Accordingly, there is no fee due for filing this Information Disclosure Statement.

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Serial No. 10/798,659
Attorney Docket No. 10628.00096

Under 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made.

Under 37 C.F.R. § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the cited references are, or are considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

References:

1. *Lisle* catalog, No. 24400 Disc Brake Pad Spreader, page 4.
2. *California Tool Co.* catalog, K-D Disc Brake Pad Spreader, No. 2145, Section 63, page 760.
3. *California Tool Co.* catalog, OTC Disc Brake Pad Spreader, No. 7034, Section 63, page 760.
4. *California Tool Co.* catalog, K-D Disc Brake Pad Spreader, No. 3376, Section 63, page 760.
5. *California Tool Co.* catalog, ATD Tools, Disc Brake Pad Spreader, No. 5050, Section 63, page 761.
6. *California Tool Co.* catalog, Cal-Van Disc Brake Pad Spreader, No. 702, Section 63, page 761.
7. *California Tool Co.* catalog, Rimac, Disc Brake Pad Spreader, No. 0096, Section 63, page 761.
8. Invention Disclosure Agreement by George P. Juliano, "Brake Piston Compressor Kit".

9. Invention Disclosure Agreement by Marshall A. Green, "Disc Brake Pad Spreader".

10. Invention Disclosure Agreement by Bernard H. Miller, "Disc Brake Pad Spreader".

11. Invention Disclosure Agreement by Juan Lopez, " Disc Brake Pad Spreader".

12. Invention Disclosure Agreement by Joe Barallon, "tool For Seating Piston on Disc Brake Caliper".

13. Invention Disclosure Agreement by Dal Sirany, "Brake Caliper Piston Retraction Tool".

14. Invention Disclosure Agreement by George Gonzalez, "Disc Brake Pad Spreader".

Foreign Patent:

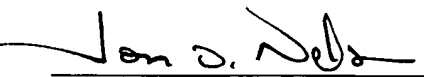
15. UK Patent No. GB 2 194 989 A.

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Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated: September 30, 2004

By: 
Jon O. Nelson
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Serial No. 10/798,659
Attorney Docket No. 10628.00096

Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 3

Complete if Known

Application Number	10/798,659
Filing Date	March 11, 2004
First Named Inventor	Randall J. Ploeger
Art Unit	3683
Examiner Name	
Attorney Docket Number	10628.00096

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Lisle catalog, No. 24400 Disc Brake Pad Spreader, page 4	
		California Tool Co. catalog, K-D Disc Brake Pad Spreader, No. 2145, Section 63, page 760	
		California Tool Co. catalog, OTC Disc Brake Pad Spreader, No. 7034, Section 63, page 760	
		California Tool Co. catalog, K-D Disc Brake Pad Spreader, No. 3376, Section 63, page 760	
		California Tool Co. catalog, ATD Tools, Disc Brake Pad Spreader, No. 5050, Section 63, page 761	
		California Tool Co. catalog, Cal-Van Disc Brake Pad Spreader, No. 702, Section 63, page 761	
		California Tool Co. catalog, Rimac, Disc Brake Pad Spreader, No. 0096, Section 63, page 761	
		Invention Disclosure Agreement by George P. Juliano, "Brake Piston Compressor Kit"	
		Invention Disclosure Agreement by Marshall A. Green, "Disc Brake Pad Spreader"	
		Invention Disclosure Agreement by Bernard H. Miller, "Disc Brake Pad Spreader"	
		Invention Disclosure Agreement by Juan Lopez, "Disc Brake Pad Spreader"	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 3 of 3

Complete if Known

Application Number	10/798,659
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		Invention Disclosure Agreement by Joe Barallon, "tool For Seating Piston on Disc Brake Caliper"	
		Invention Disclosure Agreement by Dal Sirany, "Brake Caliper Piston Retraction Tool"	
		Invention Disclosure Agreement by George Gonzalez, "Disc Brake Pad Spreader"	

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